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# On a Kaplansky Conjecture Concerning Three-Dimensional Division Algebras over a Finite Field\*

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## INTRODUCTION

The first important examples of three-dimensional division algebras over a finite field  $K = GF(q)$ ,  $q = p^h \geq 3$  and  $p$  prime, were presented by Dickson in [4]. Many authors since have studied other classes of such algebras. Particularly interesting, because not limited only to dimension three, is the class of twisted fields discovered by Albert [1] and generalized by the same author [3].

In [8], I determined the structure of any division algebra  $\mathcal{A}$  with  $\dim_K \mathcal{A} = 3$ , indirectly making a classification of the whole set. In particular, under the hypothesis  $q = p = 3m + 1$  which I examined in detail, I found that the number of nonassociative algebras  $\mathcal{A}$  is  $(p^3 - p^2 + p - 10)/3$ .

Kaplansky [7] made the following conjecture: *Any three-dimensional division algebra over a finite field  $K$  is associative or a twisted field.* In the same paper the author determined the number of three-dimensional twisted fields over  $K$  showing it to be

$$\begin{aligned} \nu &= (q^3 - q^2 + q - 10)/3, & \text{if } q \equiv 1 \pmod{3}, \\ \nu &= (q^3 - q^2 + q - 6)/3, & \text{if } q \not\equiv 1 \pmod{3}. \end{aligned} \tag{1}$$

For  $q = p = 3m + 1$ ,  $\nu$  is also the number of all nonassociative algebras  $\mathcal{A}$  determined in [8], thus proving the conjecture for this particular case. I am grateful to Kaplansky for this observation and for informing me of the content of [7] before its publication.

In Section 2 of this paper I prove Kaplansky's conjecture showing that, for any  $q$ , the number of all nonassociative three-dimensional division algebra over  $K = GF(q)$  is given by (1). In Section 1 I give a short summary of the results proved in [8], which are needed in the next section.

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1. Let  $K_3 = GF(q^3)$  be the field of rank 3 over  $K = GF(q)$ . Take an irreducible polynomial over  $K[\xi]$ ,

$$f(\xi) = \sum_{i=0}^2 e_i \xi^i - \xi^3, \quad e_i \in K, \quad (2)$$

and fix one of its roots  $v \in K_3 - K$ .

For every  $k = \sum_{i=0}^2 x_i v^i \in K_3$ ,  $x_i \in K$ , let us consider the matrix

$$F(k) = \begin{pmatrix} x_0 & e_0 x_2 & e_0 x_1 + e_0 e_2 x_2 \\ x_1 & x_0 + e_1 x_2 & e_1 x_1 + (e_0 + e_1 e_2) x_2 \\ x_2 & x_1 + e_2 x_2 & x_0 + e_2 x_1 + (e_1 + e_2^2) x_2 \end{pmatrix} = \sum_{i=0}^2 x_i F^i, \quad (3)$$

where  $F$  is the element of  $GL(3, K)$  given by

$$F = \begin{pmatrix} 0 & 0 & e_0 \\ 1 & 0 & e_1 \\ 0 & 1 & e_2 \end{pmatrix} = F(v).$$

Furthermore, let

$$\det(F(k) - tI) = \sum_{i=0}^2 (-1)^i \sigma_{3-i}(F(k)) t^i - t^3 \quad (4)$$

denote the characteristic polynomial of  $F(k)$ .

If

$$H = \begin{pmatrix} 1 & v & v^2 \\ 1 & v^q & v^{2q} \\ 1 & v^{q^2} & v^{2q^2} \end{pmatrix} \quad \text{and} \quad V = \begin{pmatrix} v & 0 & 0 \\ 0 & v^q & 0 \\ 0 & 0 & v^{q^2} \end{pmatrix},$$

then  $F = H^{-1}VH$ ; therefore,  $F(k) = H^{-1}V(k)H$ , where

$$V(k) = \begin{pmatrix} k & 0 & 0 \\ 0 & k^q & 0 \\ 0 & 0 & k^{q^2} \end{pmatrix}.$$

For every  $k \in K_3$ , it follows that

$$\sum_{i=0}^2 (-1)^i \sigma_{3-i}(F(k)) k^i - k^3 = 0; \quad (5a)$$

$$\sigma_1(F(k^{q^s})) = \sigma_1(F(k)) = \sum_{i=0}^2 k^{qi}, \quad s = 1, 2; \quad (5b)$$

$$\sigma_2(F(k^{q^s})) = \sigma_2(F(k)) = kk^q + kk^{q^2} + k^q k^{q^2}, \quad s = 1, 2; \quad (5c)$$

$$\sigma_3(F(k^{q^s})) = \sigma_3(F(k)) = k^{1+q+q^2}, \quad s = 1, 2. \quad (5d)$$

Moreover,

$$\sigma_1(F(k_1 + k_2)) = \sigma_1(F(k_1)) + \sigma_1(F(k_2)), \quad \forall k_1, k_2 \in K_3; \quad (6a)$$

$$\sigma_1(F(k^2)) = \sigma_1^2(F(k)) - 2\sigma_2(F(k)), \quad \forall k \in K_3; \quad (6b)$$

$$\sigma_1(F(ck)) = c\sigma_1(F(k)), \quad \sigma_1(F(c)) = 3c, \quad \forall k \in K_3 \text{ and } c \in K. \quad (6c)$$

In the rest of the paper

$$\text{l.i. } [k_1, k_2]_K \quad (7)$$

denotes that 1,  $k_1$ , and

$$\varphi(k_1, k_2) = (k_1 + k_2)(\sigma_1(F(k_2)) - k_2) - \sigma_2(F(k_2)) \quad (8)$$

are elements of  $K_3$  linearly independent over  $K$ .

In [8] it is proved that

$$\text{l.i. } [k_1, k_2]_K \Leftrightarrow \text{l.i. } [k_2, k_1]_K. \quad (9)$$

We denote by  $\mathcal{A}_U(k_1, k_2)$  a three-dimensional algebra over  $K$  defined by the constants of multiplication,  $c_{ij}^r = c_{ij}^r(k_1, k_2)$ , relative to the chosen basis  $U = \{u_0, u_1, u_2\}$ . Assuming  $u_i u_j = \sum_0^2 c_{ij}^r u_r$ ,  $i, j = 1, 2, 3$ , these constants are given by

$$\begin{aligned} c_{0i}^r &= c_{i0}^r = \delta_i^r, & c_{11}^0 &= c_{11}^1 = 0, & c_{11}^2 &= 1, \\ c_{12}^r &= (-1)^r \sigma_{3-r}(F(k_1)), & c_{21}^r &= (-1)^r \sigma_{3-r}(F(k_2)), \\ c_{22}^0 &= \sigma_1(F(k_1)) \sigma_3(F(k_2)) + \sigma_1(F(k_2)) \sigma_3(F(k_1)) - \sigma_2(F(k_1 k_2)), \\ c_{22}^1 &= -\sigma_3(F(k_1 + k_2)), & c_{22}^2 &= \sigma_1(F(k_1)) \sigma_1(F(k_2)) - \sigma_1(F(k_1 k_2)), \end{aligned} \quad (10)$$

where  $k_1, k_2$  are elements satisfying (7) and as usual

$$\begin{aligned} \delta_i^r &= 1, & \text{for } r &= i, \\ \delta_i^r &= 0, & \text{for } r &\neq i. \end{aligned}$$

Propositions 1–4 are a synopsis of the results in [8].

**PROPOSITION 1.** *For every  $k_1, k_2$  satisfying (7),  $\mathcal{A} = \mathcal{A}_U(k_1, k_2)$  is a division algebra. In particular:*

- (i)  $\mathcal{A}$  is associative iff  $k_2 = k_1^{q^s}$ ,  $s = 1, 2$ .
- (ii)  $\mathcal{A}$  is commutative nonassociative iff  $k_2 = k_1$  and  $p \neq 2$ . ■

*Remark.* By (10) we have  $u_0 u_i = u_i u_0 = u_i$ ,  $\forall i \in \{0, 1, 2\}$ . Hence  $u_0$  is the unit of  $\mathcal{A}$ . Moreover,  $K$  is isomorphic to the subalgebra  $\mathcal{A}' = \{xu_0; x \in K\} \subset \mathcal{A}$ .

In the rest of the paper  $\mathcal{A}'$  is identified with  $K$  and 1 is written in place of  $u_0$ .

By (10) we have also  $u_2 = u_1^2$ ; therefore,  $u_1$  and  $u_2$  are replaced by  $u$  and  $u^2$ , respectively. Hence  $U = \{1, u, u^2\}$ .

**PROPOSITION 2.** *Let  $\mathcal{A} = \mathcal{A}_U(k_1, k_2)$  be a given nonassociative algebra, i.e.,  $k_2 \neq k_1^s$ ,  $s = 1, 2$ . Then:*

- (i)  $U' = \{1, u', u'^2\}$  is a base of  $\mathcal{A}$  for every  $u' \in \mathcal{A} - K$ .  
 (ii) If  $u = \sum_{r=0}^2 \lambda_r u'^r$ ,  $\lambda_r \in K$ , then the constants of multiplication relative to the base  $U'$  are given by  $c_{ij}^r(k_1', k_2')$ , where

$$\begin{aligned} k_1' &= \lambda_0 + \lambda_1 k_1^{q^i} + \lambda_2 \varphi^{q^i}(k_1, k_2) \\ k_2' &= \lambda_0 + \lambda_1 k_2^{q^i} + \lambda_2 \varphi^{q^i}(k_2, k_1) \end{aligned} \quad i \in \{0, 1, 2\}. \quad (11)$$

Conversely, let  $k_1'$  and  $k_2'$  be given elements of the form (11), where  $\lambda_j \in K$  and  $(\lambda_1, \lambda_2) \neq (0, 0)$ . Then there exists a base  $U'$  such that  $c_{ij}^r(k_1', k_2')$  are the relative constants of multiplication. ■

**Remark.** By (5) and (10) it follows that  $c_{ij}^r(k_1', k_2') = c_{ij}^r(k_1'^{q^s}, k_2'^{q^s})$ ,  $\forall i, j, r \in \{0, 1, 2\}$  and  $s \in \{1, 2\}$ .

**PROPOSITION 3.** *Any three-dimensional division algebra over  $K$  is isomorphic to an algebra  $\mathcal{A}(k) = \mathcal{A}_U(v, k)$ , where  $k \in K_3$  is an element such that*

$$\text{l.i. } [v, k]_K. \quad \blacksquare \quad (12)$$

**PROPOSITION 4.** *Let  $\mathcal{A}(k)$  be a nonassociative algebra, i.e.,  $k \neq v^{q^s}$ ,  $s = 1, 2$ . Then  $\mathcal{A}(k')$  is isomorphic to  $\mathcal{A}(k)$  iff there exists  $i \in \{0, 1, 2\}$  and  $\lambda_j \in K$  such that*

$$v = \lambda_0 + \lambda_1 v^{q^i} + \lambda_2 \varphi^{q^i}(v, k) \quad (13a)$$

and

$$k' = \lambda_0 + \lambda_1 k^{q^i} + \lambda_2 \varphi^{q^i}(k, v). \quad \blacksquare \quad (13b)$$

**Remark.** Let  $k \neq v^{q^s}$ ,  $s = 1, 2$ , be a given element satisfying (12) and  $i$  be fixed in  $\{0, 1, 2\}$ . Then (13a) determines a unique triple  $(\lambda_0, \lambda_1, \lambda_2) \in K^3$  and the corresponding element  $k'$  in (13b) satisfies (12). If  $i = 0$ , then  $\lambda_0 = \lambda_2 = 0$ ,  $\lambda_1 = 1$  and, so,  $k' = k$ . If  $i \neq 0$ , then either  $k' = k$  or  $k' \neq k$ .

We examine these two possibilities in the following proposition.

**PROPOSITION 5.** *Let  $k \neq v^{q^s}$ ,  $s = 1, 2$ , be a given element satisfying (12). Then the system*

$$\begin{aligned} v &= y_0 + y_1 v^{q^i} + y_2 \varphi^{q^i}(v, k) \\ k &= y_0 + y_1 k^{q^i} + y_2 \varphi^{q^i}(k, v) \end{aligned} \quad (14)$$

has one solution  $(y_0, y_1, y_2) \in K^3$  either for every  $i \in \{0, 1, 2\}$  or for  $i = 0$  only.

*Proof.* Since  $1, v, \varphi(v, k)$  are linearly independent over  $K$ , for every  $i \in \{0, 1, 2\}$  there exists a unique triple  $(y_{0i}, y_{1i}, y_{2i}) \in K^3$  such that

$$v = y_{0i} + y_{1i}v^{q^i} + y_{2i}\varphi^{q^i}(v, k). \quad (15)$$

In particular  $(y_{00}, y_{10}, y_{20}) = (0, 1, 0)$ .

Let

$$k_i = y_{0i} + y_{1i}k^{q^i} + y_{2i}\varphi^{q^i}(k, v), \quad i = 0, 1, 2, \quad (k_0 = k) \quad (16)$$

and  $\mathcal{A} = \mathcal{A}_U(v, k)$ ,  $U = \{1, u, u^2\}$ . By Proposition 2 it follows that if

$$u = \sum_{r=0}^2 y_{ri}u_i^r, \quad i = 0, 1, 2, \quad (u_0 = u) \quad (17)$$

then  $c_{ij}^r(v, k_i)$  are the constants of multiplication of  $\mathcal{A}$  relative to the basis  $U_i = \{1, u_i, u_i^2\}$ ,  $i = 0, 1, 2$ .

The expressions (15) show that the triples  $(y_{0i}, y_{1i}, y_{2i})$ ,  $i = 0, 1, 2$ , are distinct; hence the elements  $u_i$ ,  $i = 0, 1, 2$ , also are distinct.

The conclusion follows from  $k_1 = k \Leftrightarrow k_2 = k$ , which we now prove.

Let  $k_1 = k$ . The constants of multiplication of  $\mathcal{A} = \mathcal{A}_{U_1}(v, k)$ , relative to the basis  $U_2$ , are  $c_{ij}^r(v, k_2)$ . Therefore according to Proposition 2, if

$$u_1 = \sum_{r=0}^2 \lambda_r u_2^r, \quad (18)$$

then

$$v = \lambda_0 + \lambda_1 v^{q^i} + \lambda_2 \varphi^{q^i}(v, k) \quad (19a)$$

and

$$k_2 = \lambda_0 + \lambda_1 k^{q^i} + \lambda_2 \varphi^{q^i}(k, v), \quad (19b)$$

where  $i \in \{0, 1, 2\}$ .

From (15) and (19a) it follows that  $(\lambda_0, \lambda_1, \lambda_2) = (y_{0i}, y_{1i}, y_{2i})$ ,  $i \in \{0, 1, 2\}$ . The cases  $i = 0$  and  $i = 2$  are impossible since (17) and (18) would then imply  $u_1 = u_2$  and  $u_1 = u_0$ , respectively. Then necessarily  $i = 1$  and  $(\lambda_0, \lambda_1, \lambda_2) = (y_{01}, y_{11}, y_{21})$ ; so, from (16) and (19b) it follows that  $k_2 = k_1 (=k)$ .

The proof of  $k_2 = k \Rightarrow tk_1 = k$  is similar. ■

**2.** By (9) it follows that (12) is equivalent to l.i.  $[k, v]_K$ . Therefore  $k = \sum_{i=0}^2 x_i v^i$  satisfies (12) iff

$$\begin{aligned} d(x_0, x_1, x_2) &= \det \begin{pmatrix} 1 & x_0 & e_1 + e_2 x_0 - e_0 x_2 \\ 0 & x_1 & e_2(x_1 + 1) - x_0 - e_1 x_2 \\ 0 & x_2 & -(x_1 + 1) \end{pmatrix} \\ &= (x_0 + e_1 x_2)x_2 - (x_1 + 1)(x_1 + e_2 x_2) \neq 0. \end{aligned} \quad (20)$$

The equation  $d(x_0, x_1, x_2) = 0$  has  $(q+1)q$  solutions in  $K^3$  because it represent a hyperbolic quadric of the three-dimensional affine space,  $\mathbf{A}(3, q)$ , over  $K$ . Then the order of

$$A = \{k \in K_3: \text{l.i. } [v, k]_K, k \neq v^s, s = 1, 2\} \quad (21)$$

is equal to  $q^3 - q^2 - q - 2$ .

According to Proposition 5 the set  $A$  can be decomposed into two disjoint classes,  $A_1$  and  $A_2$ , where:

$A_1$  is the set of elements  $k \in A$  for which the system (14) has one solution only when  $i = 0$ ;

$A_2$  is the set of elements  $k \in A$  for which the system (14) is solvable for any  $i \in \{0, 1, 2\}$ .

Let

$$|A_2| = n;$$

then

$$|A_1| = |A| - n = q^3 - q^2 - q - 2 - n.$$

From Propositions 3, 4, and 5 it follows that the number of all nonassociative three-dimensional division algebras over  $K$  is

$$\nu' = |A_1|/3 + |A_2| = (q^3 - q^2 - q - 2 + 2n)/3.$$

The proof that  $\nu' = \nu$  (cf. (1)) follows after showing that

$$\begin{aligned} n &= q - 4, & \text{if } q \equiv 1 \pmod{3} \\ n &= q - 2, & \text{if } q \not\equiv 1 \pmod{3}. \end{aligned} \quad (22)$$

To prove (22), it is convenient to assume in (2) that

$$e_2 = 0, \quad e_1 \neq 0. \quad (23)$$

The existence, for any  $q$ , of polynomials  $e_0 + e_1\xi - \xi^3$ ,  $e_1 \neq 0$ , irreducible over  $K[\xi]$  follows from this remark:

*Remark.* If  $p = 3$ , then there is in  $K[\xi]$  some irreducible polynomial  $g_e(\xi) = e + \xi - \xi^3$ . Indeed,  $g_e(\xi_0) = 0 \Rightarrow g_e(\xi_0 + 1) = g_e(\xi_0 - 1) = 0$ ; also if  $e' \neq e$  and  $g_e(\xi_0) = 0$ ,  $g_{e'}(\xi_0') = 0$ , then  $\xi_0' \neq \xi_0$ . Therefore the  $q$  polynomials  $g_e(\xi)$  cannot all be reducible.

If  $p \neq 3$ , then, with the substitution  $\xi = \xi' + e_2/3$ , from a given irreducible polynomial (2) a new irreducible polynomial  $f'(\xi') = e_0' + e_1'\xi' - \xi'^3 \in K[\xi']$  is obtained.

In the particular case  $e_1' = 0$  we can observe that  $f''(\xi'') = e_0'(e_0' + 1) + 3e_0'\xi'' - \xi''^3$  is irreducible in  $K[\xi'']$ . Indeed, if  $\xi_0'$  is a root of  $f'(\xi')$ , i.e.,  $\xi_0'^3 = e_0'$ ,

then  $\xi_0'' = \xi_0'^2 + \xi_0'$  is a root of  $f''(\xi'')$ . Moreover  $\xi_0''$  belongs to  $K_3 - K$ ; otherwise,  $\xi_0' \in K_3 - K$  would be a root of the irreducible polynomial  $\xi'^2 + \xi' - \xi_0'' \in K[\xi']$  and, hence,  $K_3$  would contain the field of rank 2 over  $K$ .

LEMMA 1. *The linear system (14) has one solution in  $K^3$  for every  $i \in \{0, 1, 2\}$  iff*

$$\sigma_1(F(k)) = \sigma_1(F(v)) \quad (= e_2 = 0) \quad (24a)$$

and

$$\sigma_2(F(k)) = \sigma_2(F(v)) \quad (= -e_1). \quad (24b)$$

*Proof. Necessity.* Assume that (14) is solvable for every  $i \in \{0, 1, 2\}$ ; that is,

$$\begin{aligned} v^{q^i} &= y_{0i} + y_{1i}v + y_{2i}\varphi(v, k) \\ k^{q^i} &= y_{0i} + y_{1i}k + y_{2i}\varphi(k, v), \end{aligned} \quad i = 0, 1, 2,$$

with  $y_{ji} \in K$  and  $y_{00} = y_{20} = 0$ ,  $y_{10} = 1$ .

Replacing these expressions in  $\sum_0^2 v^{q^i} - \sigma_1(F(v)) = 0$  we find

$$\sum_0^2 y_{0i} + v \sum_0^2 y_{1i} + \varphi(v, k) \sum_0^2 y_{2i} = 0;$$

hence, by (12),

$$\sum_0^2 y_{0i} = \sum_0^2 y_{1i} = \sum_0^2 y_{2i} = 0.$$

In a similar way, from  $\sum_0^2 k^{q^i} - \sigma_1(F(k)) = 0$  and considering (9) and (12), we deduce

$$\sum_0^2 y_{0i} - \sigma_1(F(k)) = \sum_0^2 y_{1i} = \sum_0^2 y_{2i} = 0.$$

Hence  $\sigma_1(F(k)) = \sigma_1(F(v)) = 0$ .

To prove (24b), we distinguish two cases.

(a)  $y_{21} = 0$ .

$$\begin{aligned} v^q &= y_{01} + y_{11}v \Rightarrow v^{q^2} = y_{01} + y_{11}v^q = y_{01}(1 + y_{11}) + y_{11}^2v^2 \\ &\Rightarrow v^q v^q + v^q v^{q^2} + v^q v^{q^2} = \\ &y_{01}^2(1 + y_{11}) + 2y_{01}(1 + y_{11} + y_{11}^2)v + y_{11}(1 + y_{11} + y_{11}^2)v^2. \end{aligned}$$

In particular, we have  $\sigma_2(F(v)) = y_{01}^2(1 + y_{11})$  since  $\{1, v, v^2\}$  is a basis of  $K_3$ .

Arguing analogously, we can prove that from  $k^q = y_{01} + y_{11}k$ ,  $\sigma_2(F(k)) = y_{01}^2(1 + y_{11})$  follows.

(b)  $y_{21} \neq 0$ .

By (5), (6), (8), and (24a),  $v^q = y_{01} + y_{11}v + y_{21}\varphi(v, k) \Rightarrow \sigma_1(F(v^q)) = 0 = 3y_{01} + y_{21}\sigma_1(F(\varphi(v, k))) = 3y_{01} - y_{21}[\sigma_1(F(vk) + \sigma_1(F(k^2)) + 3\sigma_2(F(k)))] = 3y_{01} - y_{21}[\sigma_1(F(vk)) + \sigma_2(F(k))] \Rightarrow \sigma_2(F(k)) = 3y_{01}y_{21}^{-1} - \sigma_1(F(vk))$ .

In a similar way we can deduce  $\sigma_2(F(v)) = 3y_{01}y_{21}^{-1} - \sigma_1(F(kv))$  from  $k^q = y_{01} + y_{11}k + y_{21}\varphi(k, v)$ .

*Sufficiency.* Assume that (24) hold and let

$$v^q = y_0 + y_1v + y_2\varphi(v, k), \quad y_j \in K, \quad (25a)$$

$$k^q = y_0' + y_1'k + y_2'\varphi(k, v), \quad y_j' \in K. \quad (25b)$$

It suffices to show that  $y_j' = y_j, j = 0, 1, 2$ .

Raising the left and the right sides of (25a) to the power  $q^i, i = 0, 1, 2$ , we have

$$v^q = y_0 + y_1v + y_2\varphi(v, k),$$

$$v^{q^2} = y_0 + y_1v^q + y_2\varphi^q(v, k),$$

$$v = y_0 + y_1v^{q^2} + y_2\varphi^{q^2}(v, k).$$

Using Cramer's rule and considering (5), (6), and (24) we find

$$y_j = C_j C^{-1}, \quad j = 0, 1, 2,$$

where

$$C_0 = \sigma_1(F(u^{q+1})) - \sigma_1(F(u^q(vk^q + v^qk))) + \sigma_1(F(u))\sigma_2(F(v)), \quad (26)$$

$$C_1 = \sigma_1(F(u^qw - uw)), C_2 = 3\sigma_2(F(v)), C = \sigma_1(F(uw^q - u^qw)),$$

and

$$u = vk, \quad w = v + k.$$

After comparing (25b) with (25a) we can assert that the expressions of  $y_j'$  differ formally from the corresponding ones of  $y_j$  because of the exchange between  $v$  and  $k$ . Since  $u, w$ , and  $\sigma_2(F(v))$  are invariant with respect to this exchange, it follows that (26) are also invariant. Hence  $y_j' = y_j, j = 0, 1, 2$ . ■

From Lemma 1,

$$A_2 = \{k \in A: \sigma_1(F(k)) = 0, \sigma_2(F(k)) = -e_1\} \quad (27)$$

follows.

LEMMA 2. Suppose  $p \neq 3$ . The polynomial

$$l(\xi) = e_1^2 \xi^2 + 9e_0 \xi + 3e_1$$

is reducible in  $K[\xi]$  iff  $q \equiv 1 \pmod{3}$ . Also in this case,  $l(\xi) = 0$  has two distinct roots in  $K$ .



*Proof.* Let  $v^q = \sum_{i=0}^2 z_i v^i$  and, hence,

$$v^{q^2} = -v - v^q = -z_0 - (1 + z_1)v - z_2 v^2.$$

Since  $\sigma_1(F(v^{q^s})) = 0$ ,  $\sigma_2(F(v^{q^s})) = -e_1$ ,  $s = 1, 2$ , by (3) and (23) we obtain

$$\begin{aligned} 3z_0 + 2e_1 z_2 &= 0 \\ e_1(2z_1 + 1) + 3e_0 z_2 &= 0 \end{aligned} \quad (28a)$$

and

$$e_1^2 z_2^2 + 3e_1(z_1^2 - 1) + 9e_0 z_1 z_2 = 0. \quad (28b)$$

Solving (28a) with respect to  $z_0$ ,  $2z_1 + 1$ ,  $z_2$ , we find

$$z_0 = -2he_1^2, \quad 2z_1 + 1 = -9he_0, \quad z_2 = 3he_1,$$

where  $h$  is an element of  $K$ , which, according to (28b), we suppose also different from 0. Then

$$e_0 = -(2z_1 + 1)/9h, \quad e_1 = z_2/3h, \quad e_1^2 = (z_0 + e_1 z_2)/h. \quad (29)$$

After substituting these expressions into (28b), we obtain  $(z_0 + e_1 z_2)z_2^2 + (z_1^2 - 1)z_2 - (2z_1 + 1)z_1 z_2 = 0$ . Since (23) and (29) imply  $z_2 \neq 0$ , from this we have

$$(z_0 + e_1 z_2)z_2 = z_1^2 + z_1 + 1. \quad (30)$$

According to (29), the equation  $l(\xi) = 0$  is equivalent to  $(z_0 + e_1 z_2)\xi^2 - (2z_1 + 1)\xi + z_2 = 0$ . From this, with the change of variable

$$\xi = (z_1 - \xi')(z_0 + e_1 z_2)^{-1} \quad (31)$$

and using (30), we find  $l'(\xi') = \xi'^2 + \xi' + 1 = 0$ .

Thus  $l(\xi)$  is reducible over  $K$  iff  $l'(\xi')$  is reducible; that is, iff the all cube roots of unity belong to  $K$ . It is known that, with  $p \neq 3$ , this occurs iff  $q \equiv 1 \pmod{3}$ .

If  $l'(\xi') = 0$  has two roots in  $K$ , then necessarily they are distinct; hence, from (31), those of  $l(\xi) = 0$  are also distinct. ■

**PROPOSITION 6.** *The order,  $n$ , of the set  $A_2$  is given by (22).*

*Proof.* Let  $k = \sum_{i=0}^2 x_i v^i$ . According to (3), (20), and (23), we can write the conditions i.i.  $[v, k]_K$ ,  $\sigma_1(F(k)) = 0$ , and  $\sigma_2(F(k)) = -e_1$  in the following explicit form

$$\begin{aligned} (x_0 + e_1 x_2)x_2 - (x_1 + 1)x_1 &\neq 0 \\ 3x_0 + 2e_1 x_2 &= 0 \\ 3x_0^2 + 4e_1 x_0 x_2 - e_1 x_1^2 - 3e_0 x_1 x_2 + e_1^2 x_2^2 &= -e_1. \end{aligned} \quad (32)$$

We note that the above-mentioned conditions are also satisfied by  $k = v^q$ ,  $s = 1, 2$ . Therefore, if  $n'$  is the number of solutions of the system (32), then, by (21) and (27),

$$|A_2| = n = n' - 2. \quad (33)$$

We distinguish two cases.

(a)  $p = 3$ .

The system (32) becomes

$$x_1(x_1 + 1) \neq 0$$

$$x_2 = 0$$

$$x_1^2 = 1$$

and consequently has  $n' = q$  solutions of the form  $(x_0, 1, 0)$ ,  $x_0 \in K$ ; so  $n = q - 2$ .

(b)  $p \neq 3$ .

In this case (32) is equivalent to the system

$$x_0 = -2e_1x_2/3$$

$$3e_1x_1^2 + 9e_0x_1x_2 + e_1^2x_2^2 = 3e_1$$

$$e_1x_2^2 - 3x_1(x_1 + 1) \neq 0.$$

Therefore  $n'$  is equal to the number of points of the affine plane  $\mathbf{A}(2, q)$ , over  $K$ , belonging to conic  $\gamma: 3e_1x_1^2 + 9e_0x_1x_2 + e_1^2x_2^2 - 3e_1 = 0$  but not to conic  $\gamma': e_1x_2^2 - 3x_1(x_1 + 1) = 0$ .

Since the point  $P(-1, 0)$  belongs to each one of  $\gamma$  and  $\gamma'$ , we determine a parametrization setting  $x_2 = m(x_1 + 1)$ . In this way we find

$$x_1 = (3e_1 - e_1^2m^2)(e_1^2m^2 + 9e_0m + 3e_1)^{-1} \quad (34)$$

and

$$x_1 = e_1m^2(3 - e_1m^2)^{-1} \quad (35)$$

for  $\gamma$  and  $\gamma'$ , respectively.

From (34) we deduce that  $\gamma$  is irreducible. Indeed  $3e_1 - e_1^2m^2 = 0$  and  $e_1^2m^2 + 9e_0m + 3e_1 = 0$  iff  $27e_0^2 - 4e_1^3 = 0$ . But the last equality is impossible if  $p = 2$  since  $e_0 \neq 0$  and also if  $p \neq 2$  since, from Lemma 2, the discriminant  $3(27e_0^2 - 4e_1^3)$  of  $l(\xi) = 0$  is different from 0.

Thus, in  $\mathbf{A}(2, q)$ , the number of points of  $\gamma$  is  $q + 1$  minus the number of solutions of  $l(m) = e_1^2m^2 + 9e_0m + 3e_1 = 0$  in  $K$ .

It is easy to prove that the values of  $x_1$  given by (34) and (35) coincide iff  $e_0m^3 + e_1m^2 - 1 = 0$ , i.e.,  $f(1/m) = 0$ . It follows that  $P(-1, 0)$  is the only point of  $\mathbf{A}(2, q)$  belonging to  $\gamma$  and  $\gamma'$ .

From above results and from Lemma 2, it follows that

$$\begin{aligned} n' &= q - 2, & \text{if } q &\equiv 1 \pmod{3} \\ n &= q, & \text{if } q &\not\equiv 1 \pmod{3}. \end{aligned}$$

From this and from (33) we find (22). ■

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